



TEMPUS

Modernising higher education

TEMPUS IV- FIFTH CALL FOR PROPOSALS

TEMPUS INFORMATION DAY



<http://eacea.ec.europa.eu/tempus>



European Commiss
TEMPU



Outline of the presentation

- **Part I: Basic features of the Tempus programme**
- **Part II: Results of the 4th call for proposals**
- **Part III: Novelties under the 5th call for proposals**
- **Part IV: Simplified submission procedure**
- **Part V: Steps in the selection process and recruitment of experts**



Part I

Basic features of the Tempus programme

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BASIC FEATURES OF TEMPUS IV

Objectives:

- To support the modernisation of Higher Education in Partner Countries of the surrounding area of the EU and Central Asia
- To establish an area of cooperation between the European Union (EU) and the Partner Countries.
- To favour voluntary convergence with the EU developments in the field of Higher Education.

BASIC FEATURES (II)

Approach:

- Institutional cooperation.
- Bottom-up programme mainly implemented through calls for proposals seeking projects targeting reforms in higher education institutions and/or systems.
- Strong involvement of national authorities.
- Strong accent on dissemination, sustainability and exploitation of results.
- Complements other EU mobility programmes (e.g. Erasmus Mundus).

BASIC FEATURES (III)

Local Support:

- National Contact Points (NCPs) in EU Member States
- National Tempus Offices (NTOs) in Partner Countries (PCs)

3 TYPES OF ACTIONS

- **Joint Projects:** implemented at institutional level to reform curricula, improve university governance, create more links with society.
- **Structural Measures:** implemented at national level for the development and reform of national higher education structures and systems in Partner Countries.
- **Accompanying Measures:** dissemination and information activities (*conferences, studies, exchange of good practice, National Tempus Offices, Higher Education Reform Experts, etc.*)

GRANT SIZE = € 500 000 to € 1 500 000

- For both Joint Projects and Structural Projects.
- Minimum grant size for national projects from Montenegro and Kosovo: € 300,000.
- Minimum co-financing: 10%

PROJECT DURATION = 24 or 36 months

ELIGIBLE PARTNERSHIPS

National projects: <i>(targeting 1 single Partner Country)</i>	Multi-country projects: <i>(targeting more than 1 Partner Country)</i>
minimum of 6 Higher Education Institutions	minimum of 7 Higher Education Institutions
3 from the involved Partner Country	2 from <u>each</u> involved Partner country (minimum 2 PC)
3 from 3 different EU countries	3 from 3 different EU countries



ELIGIBLE APPLICANTS

Joint Projects:

- State-recognised public or private Higher Education Institutions (either in EU or PC)
- Associations, organisations or networks of Higher Education Institutions

Structural Measures:

- State-recognised public or private Higher Education Institutions (either in EU or PC)
- Associations, organisations or networks of Higher Education Institutions
- Rector/teacher/student organisations

ELIGIBLE PARTNERS

- State-recognised public or private HE institutions (either in EU or PC)
- Associations, organisations or networks of HE institutions
- Rector/teacher/student organisations
- Non-governmental organisations
- Social partners and their training organisations
- Private and public enterprises
- Research institutions
- Public administrations (*Ministries, other national/regional/local administrations*) or governmental organisations BUT with special funding conditions (*only their travel costs and costs of stay can be reimbursed by the Grant*)

ELIGIBLE PARTNERS

Additional condition for Structural Measures

- The **Ministries responsible for higher education** in each Partner Country must be involved as full partner.
- BUT under special funding conditions.

ELIGIBLE ACTIONS

Themes structured around the main components of the modernisation agenda for higher education.

➤ Joint projects:

- Curriculum reform
- Governance reform
- Higher Education and Society

➤ Structural measures

- Governance reform
- Higher Education and Society

PROGRAMME THEMES

❖ Curricular reform

- ✓ Modernisation of curricula: ECTS, 3 cycles, recognition of degrees

❖ Governance reform

- ✓ University management and student services
- ✓ Introduction of quality assurance
- ✓ Institutional and financial autonomy & accountability
- ✓ Equal access to HE
- ✓ Development of international relations

❖ Higher Education and Society

- ✓ Training of non-university teachers
- ✓ Development of partnerships with enterprises
- ✓ Knowledge triangle education/research/innovation
- ✓ Training courses for public services (Ministries/local authorities)
- ✓ Development of lifelong learning
- ✓ Qualifications frameworks

NATIONAL AND REGIONAL PRIORITIES

National projects

(targeting 1 single Partner Country)

- Focus on the national priorities set for the Partner Country.
- Defined by the Ministries of Education in close consultation with the European Union Delegations.

NATIONAL AND REGIONAL PRIORITIES

Multi-country projects

(targeting more than 1 Partner Country)

- Focus on the regional priorities common to all PCs within a specific region.
- Based on strategic documents of European Commission.
- Can address a national priority which is common to all participating PCs.
- Cross regional cooperation: Possible if the theme of the proposal is identified as a regional or national priority for all the participating PCs.

Priority given to project proposals that:

- Demonstrate a **wide impact** on higher education institutions and systems.
- Involve a representative number of **higher education institutions** from a **Partner Country**.
- **Structural Measures** projects.
- **Multi-country** projects, when cooperation is relevant and justified by detailed analysis of common needs and objectives and the choice of the countries coherent with the objectives proposed.
- Projects actively **involving** students, women, enterprises, social partners, research institutions, Ministries of Education, NGOs and other non-academic organisations



Part II

Fourth call for proposals

Overview on the selection process and the results

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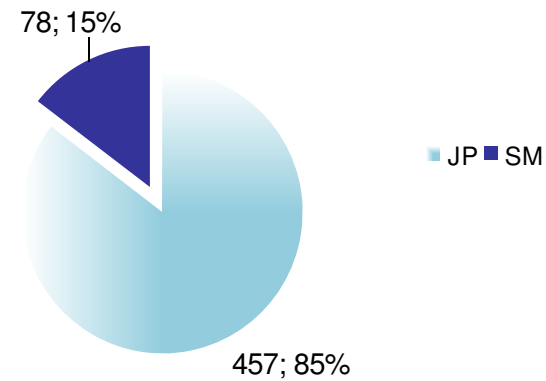
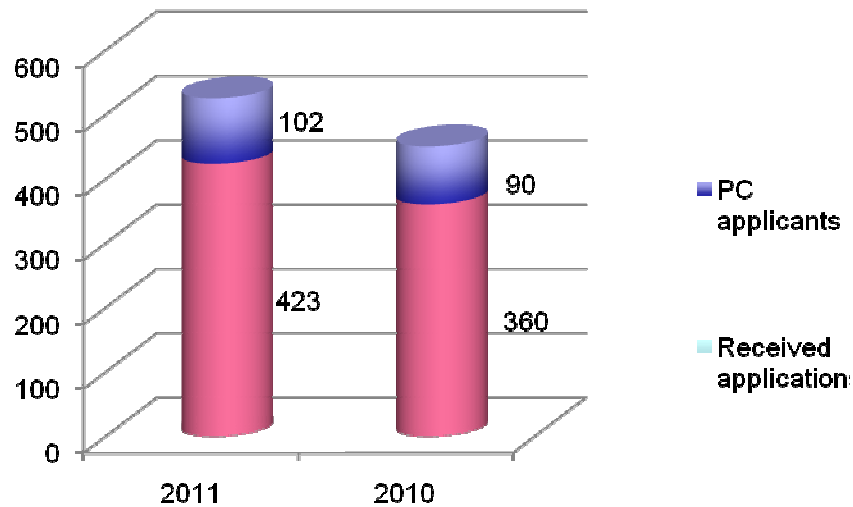


Total number of applications received

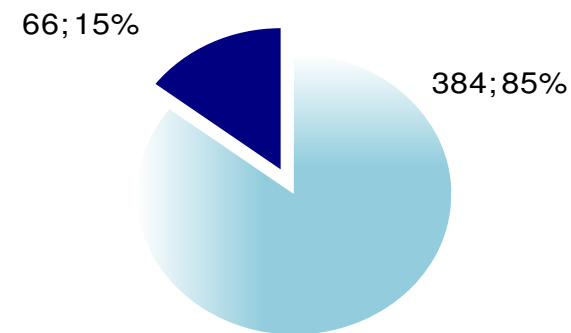
2011

➤ Received applications

- 525 proposals
- 102 (19.5%) PC applicants

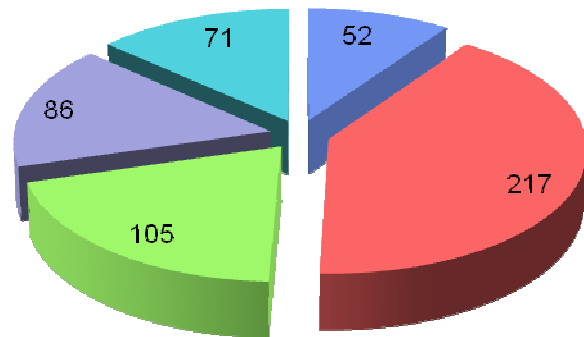


2010

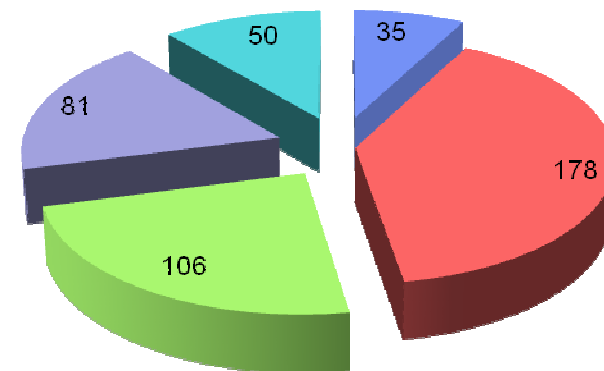


Breakdown of applications by target region (2011 vs 2010)

2011

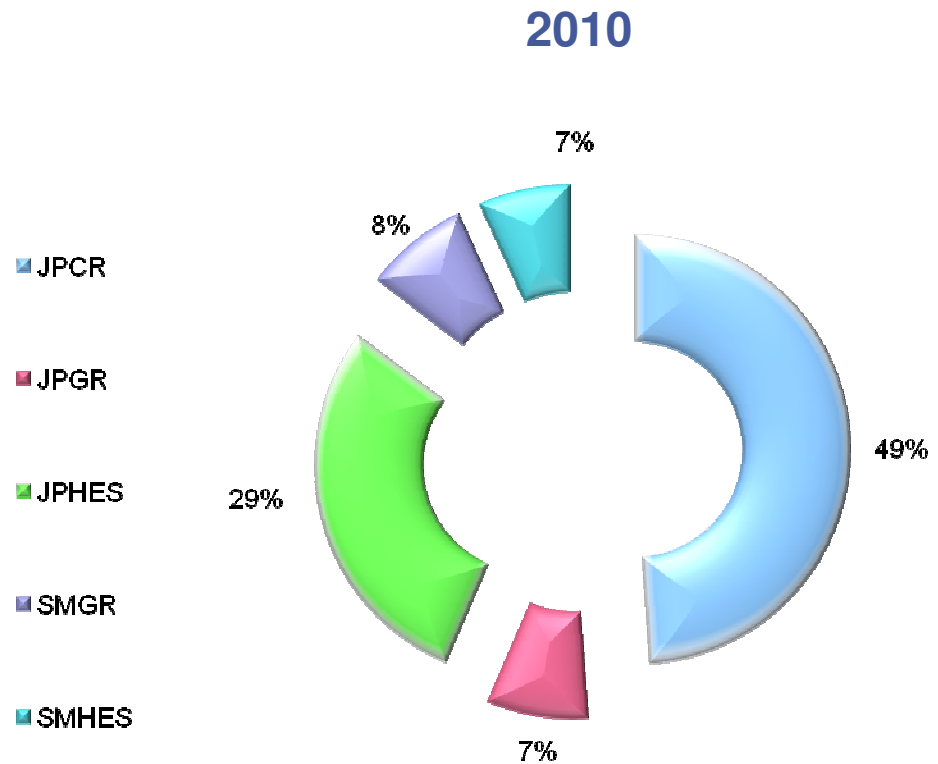
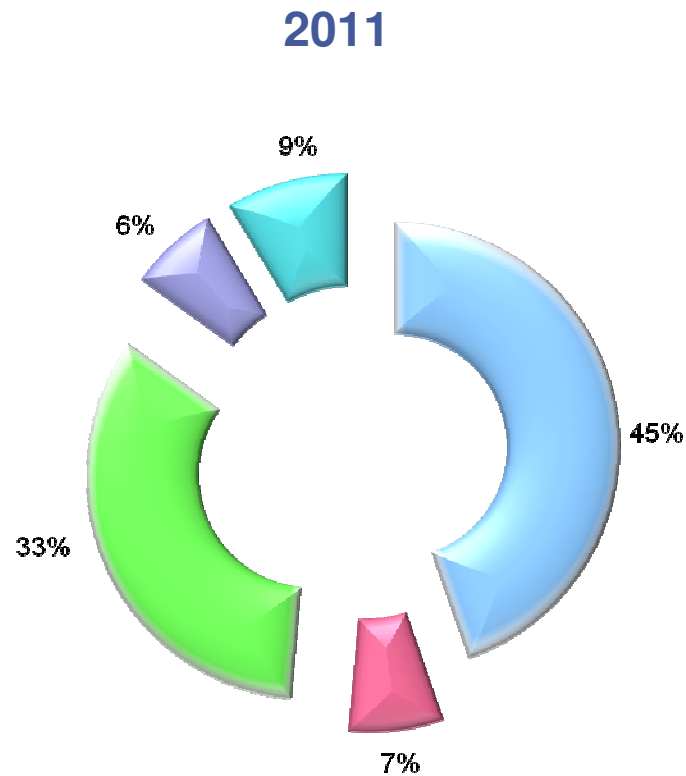


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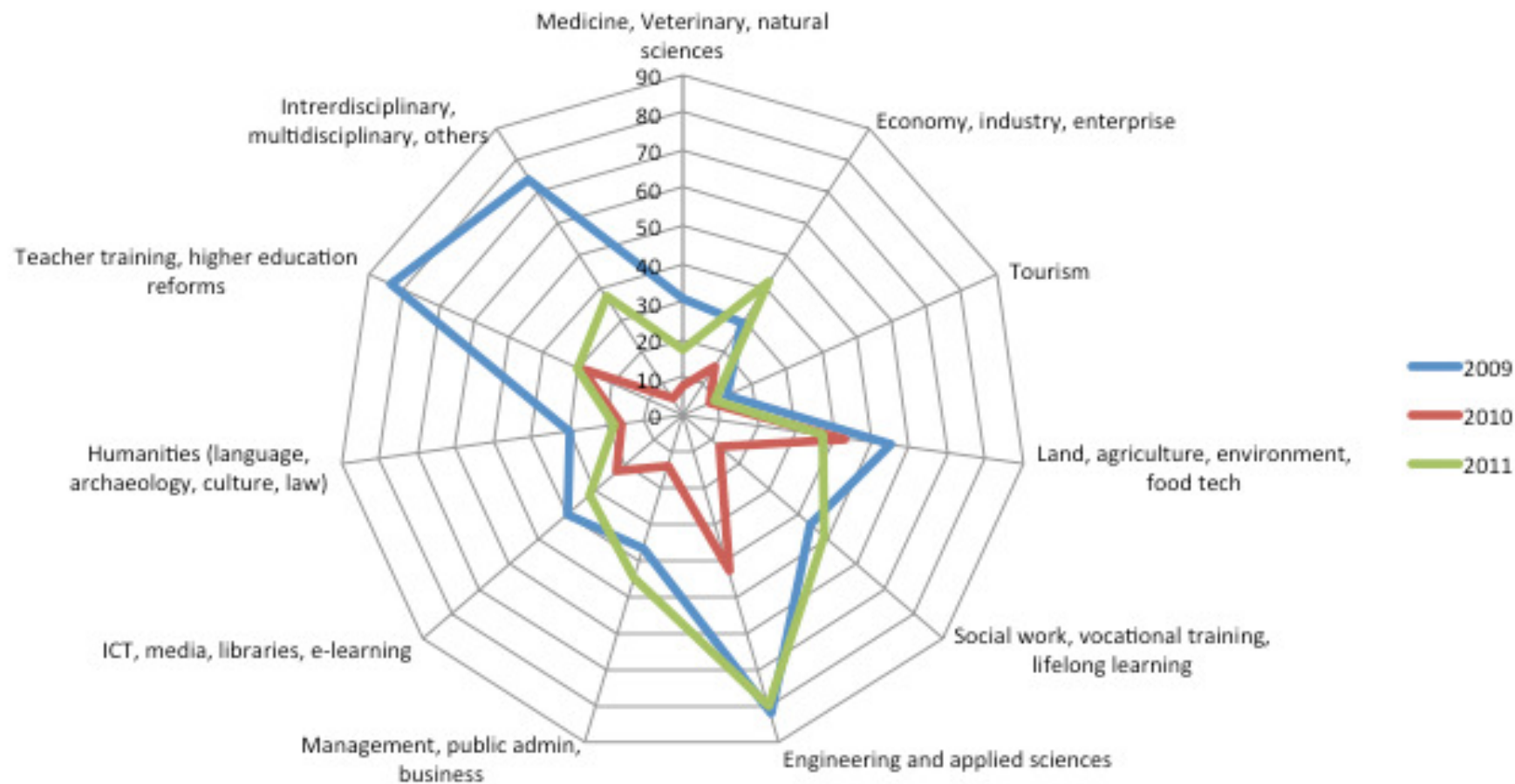


- Central Asia
- Eastern Europe
- Mediterranean countries
- Western Balkans
- Multi-regions

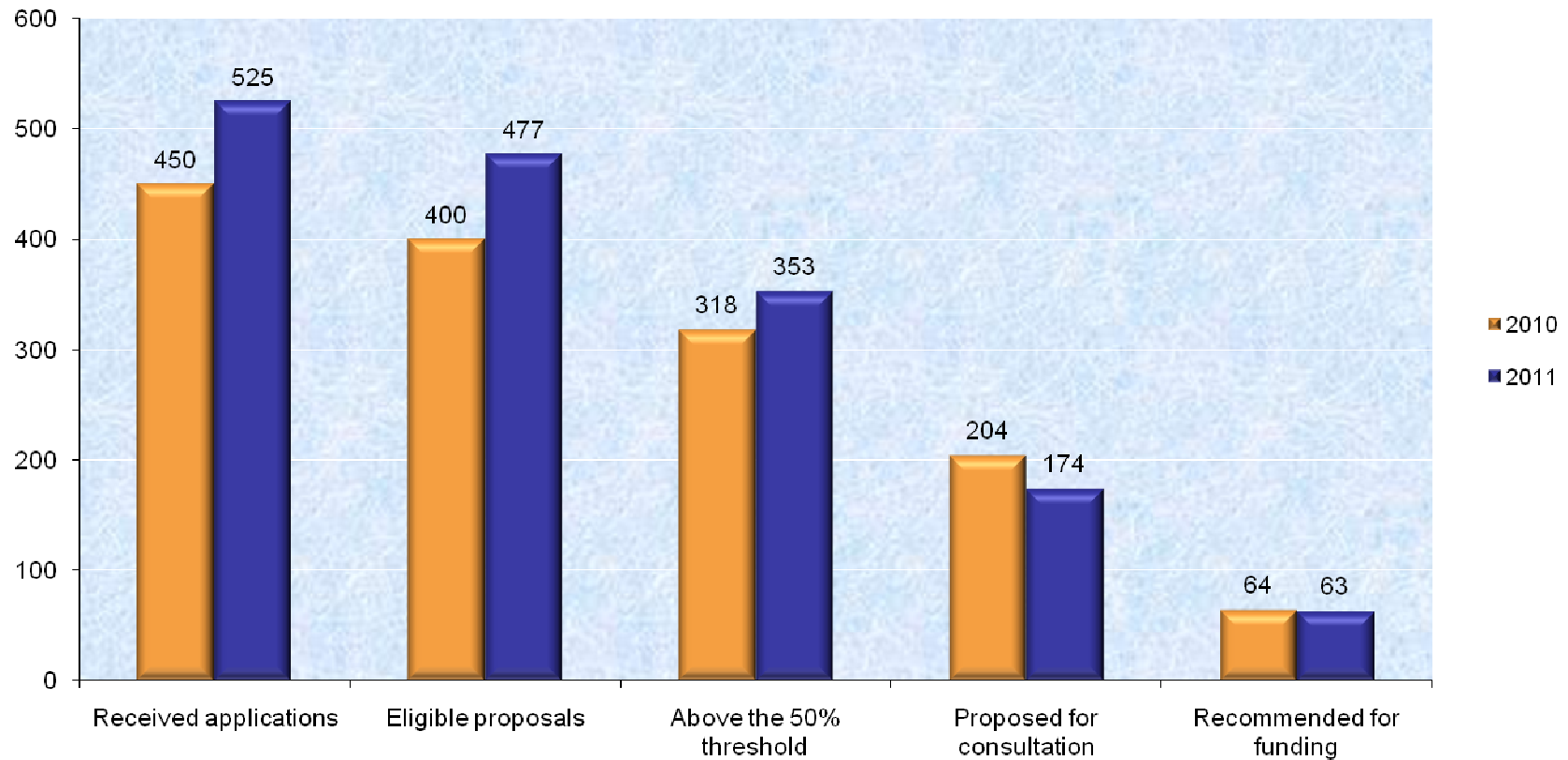
Breakdown of applications according to type



Breakdown of applications on subject areas



Overview on selection progress (1)

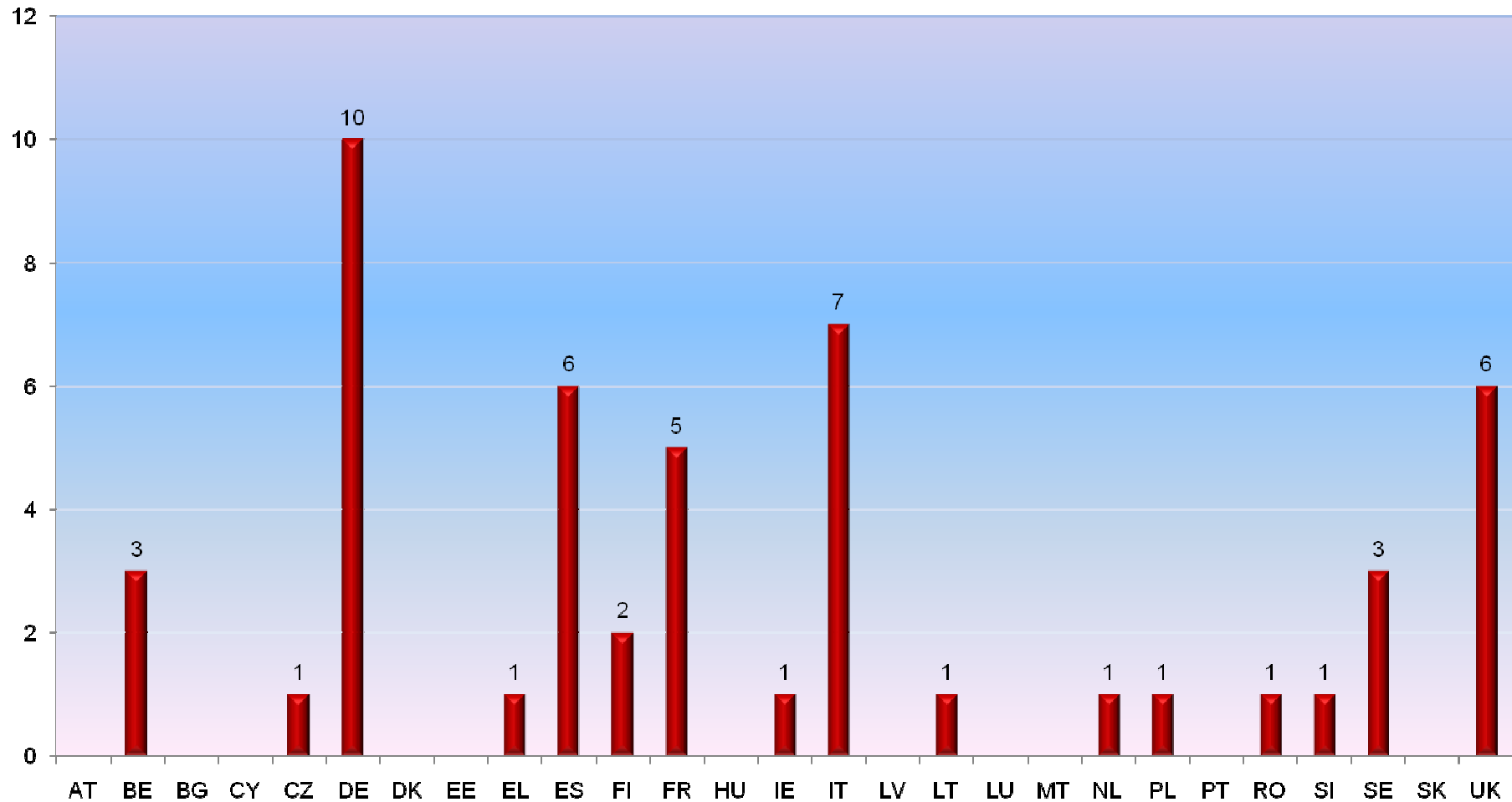


Overview on selection progress (2)

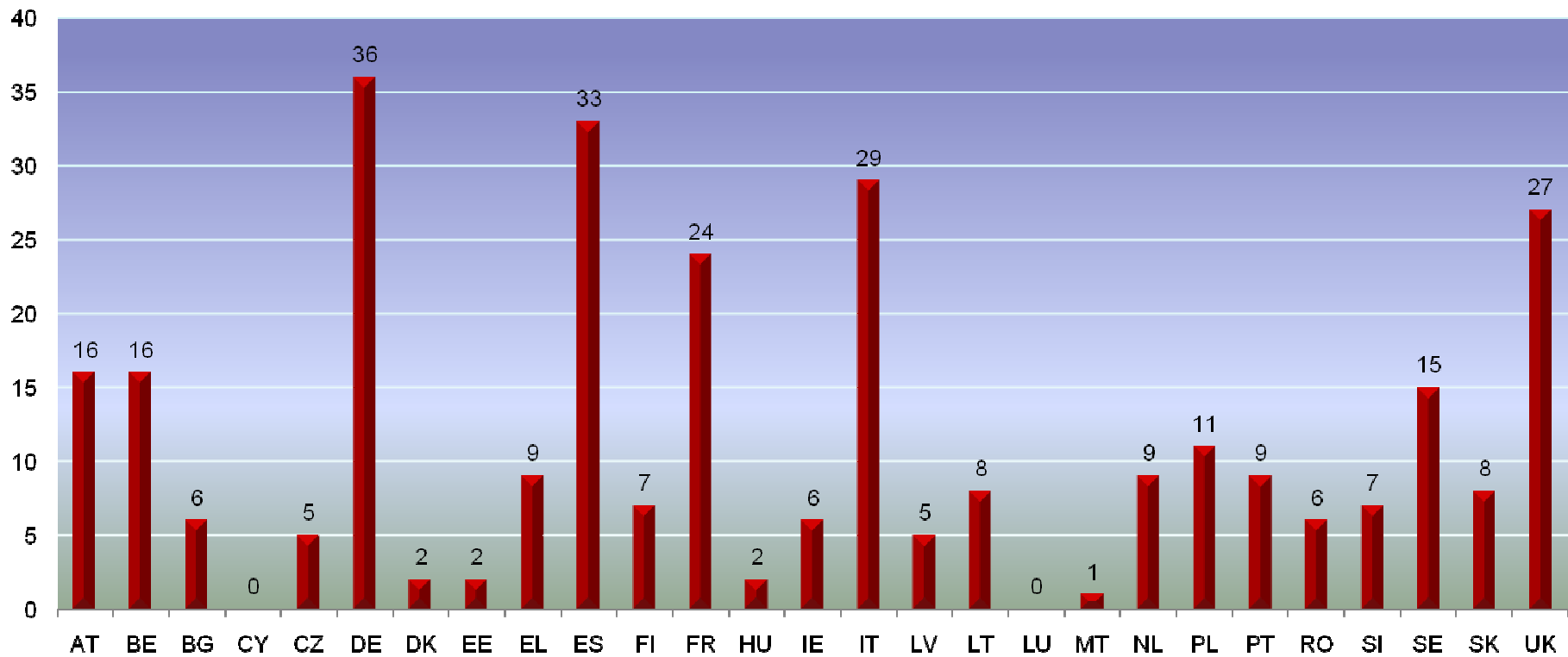
REGION	Received applications	Eligible proposals	Above the 50% threshold	Proposed for consultation	Recommended for funding
Western Balkans	86	82	61	41	18
South Neighbouring area	105	92	68	33	15
Eastern Neighbouring area: Regional + Russian Federation	212	133+61	103+46	33+14	10+6
Central Asia	52	46	29	20	8
Multiregional	70	63	46	33	6
TOTAL	525	477 <i>91% (from total received)</i>	353 <i>70% (from eligible applications)</i>	174 <i>49% (from scored > 50) 36,48% (from eligible)</i>	63 <i>12% (from total) 36,2% (from projects sent to consultation)</i>

Selection TOTAL	2010	450	400	318	204	64 <i>31.37% (from projects sent to consultation)</i>
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Applications coordinated by EU Member States in selected projects



Participation of EU Member States organisations in selected projects

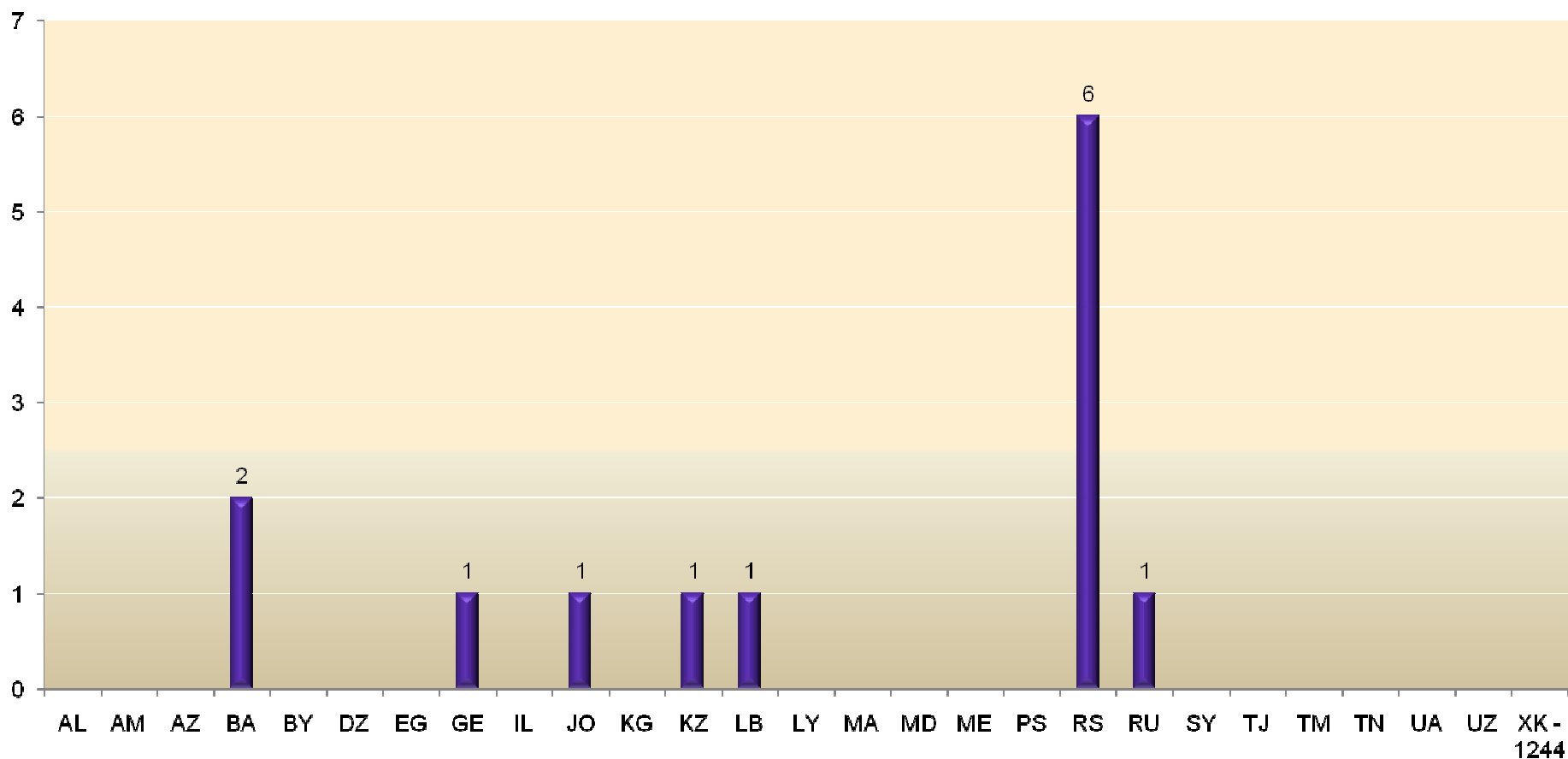


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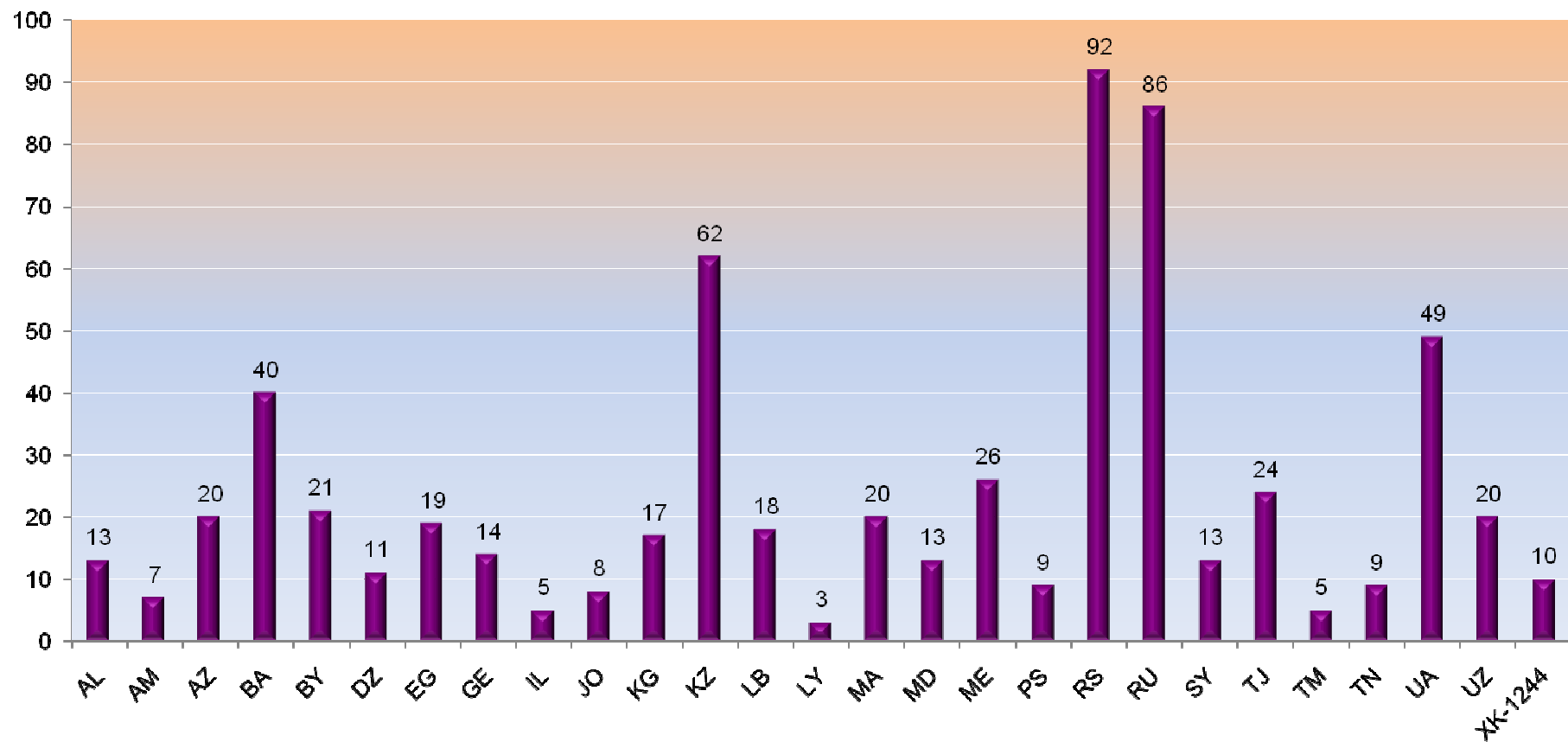
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Applications coordinated by Partner Countries in selected projects



Participation of Partner Countries organisations in selected projects



Number of projects in which institutions from Partner Countries are involved in (regional)

Region	Total	National	Multi-country	JP	SM
IPA	18	10	8	13	5
ENPI East (regional allocation)	16	4	12	13	3
Russia (bilateral allocation)	6	6	0	4	2
ENPI South	16	8	8	13	3
DCI	13	4	9	9	4
TOTAL Number of projects selected*	63	33	30	48	15

* These figures cannot be added, as several regions can be involved in the same project. The totals include the multiregional projects.

Selection Conclusions

Summary results

63 proposals recommended for funding

13 proposals have **Partner Countries** as coordinator (21% of the selected projects)

48 Joint Projects

15 Structural Measures (24% of the selected projects)

33 national projects

30 proposals are multi-country (48%)

€ 818.000 = average budget size

Average consortium size = **12**

Selection Results/Tempus IV

	1 st Call	2 nd Call	3 rd Call	4 th Call
Proposals recommended for funding	76	69	64	63
Proposals with Partner Country co-ordinators	18 <i>(23,6%)</i>	15 <i>(21%)</i>	18 <i>(28%)</i>	13 <i>(21%)</i>
Joint Projects	63 <i>(82,8%)</i>	50 <i>(72,5%)</i>	50 <i>(78%)</i>	48 <i>(76%)</i>
Structural measures	13 <i>(17,2%)</i>	19 <i>(27,5%)</i>	14 <i>(22%)</i>	15 <i>(24%)</i>
Multi-country projects	46 <i>(60,5%)</i>	34 <i>(49%)</i>	31 <i>(48%)</i>	30 <i>(48%)</i>
National projects	30 <i>(39,5%)</i>	35 <i>(51%)</i>	33 <i>(52%)</i>	33 <i>(52%)</i>



Part III

Novelties of the fifth call for proposals

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TEMPUS IV Indicative budget for projects selected under 5th Call: 78,1 € million (60% increase compared to 2011)

Southern Mediterranean	€ 22.8 million* * Plus an additional amount of €6.25 million under the reserve that the relevant financing decision is adopted by the European Commission.
Eastern Europe/Caucasus (Russia not included)	€ 22.8 million* * Plus an additional amount of €6.25 million under the reserve that the relevant financing decision is adopted by the European Commission.
Bilateral allocation for Russia (for national and multi-country projects involving Russia)	€ 8.5 million
Western Balkans	€ 14.5 million Albania € 1.9; Bosnia and Herzegovina € 2.3; Montenegro € 1.2; Serbia: € 6.6; Kosovo under UNSC Resolution 1244/99: € 2.5
Central Asia	€ 9.5 million

Novelties under the 5th Call for proposals

- ✓ **Terminology/Clarification** – e.g., required level of higher education institutions (ISCED 5 and 6 levels); legal representative of an institution
- ✓ **Clarification related to the status of HEI** – Faculties/ Depts./ Centres part of a HEI, even if established as autonomous legal entities, cannot count for a partner / applicant.

Main changes:

- ✓ **National priorities** – update + harmonisation of subject area titles with the Call
- ✓ **Budget** – significant increase, i.e. ENPI South and East and Russia

Novelties under the 5th Call for proposals

- ✓ **Associations of HEI** acting as applicant will need at least two higher education institutions which are not member of the association to participate in the consortium
- ✓ **Submission procedure** – paper copy of eForm not required any more. All administrative documents can be copies or scanned version (Declaration of Honour in ORIGINAL)
- ✓ **Financial conditions** – increase of rates for cost of stay during mobility periods for Partner Country staff within their own country and for monthly rates for student costs of stay in a European Union country and in the Partner Country.
- ✓ **Sub-contracting** – All subcontracting costs should be booked under "Other costs" budget heading.



Part IV

Simplified submission procedure

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Submission of the applications: E-form

- One-phase submission,
 - one deadline → **February 2012**,
 - two steps:

1 - On-line submission of the eForm, including 3 mandatory annexes (Declaration of Honour, Workplan and Budget Excel and Logical Framework Matrix) is considered to be the **unique reference** information for the submission deadline.

2 - Administrative and legal documents by post.
NO paper copy of the eform is needed anymore.

Submission of the applications: E-form

Step 1: On-line submission of the eForm

- **Complete and validate** the eForm + correct mistakes
- **Attach** the mandatory annexes:
 - Declaration of Honour
 - Workplan and Budget Excel Table
 - Logical Framework Matrix
- Click on **SUBMIT** button of the eForm and an automatic notification is sent with the project **Reference number**

Submission of the applications: E-form

Step 2: Administrative and legal documents by post

- Copy the **Project reference number** on each of the following documents:
 - Declaration of honour by the legal representative of the applicant organisation
 - Legal entity form
 - Bank identification form
 - Profit and loss accounts/ Balance sheet (if applicable)
 - Mandates

- **Send** the complete application package, **by the deadline of February 2012** as defined in the Call (date of postmark), using registered post to the Agency postal address mentioned in the Call for proposals.

- Only the **Declaration of honour** needs to be signed **in original**. Copied/scanned versions are accepted for all other documents, even in case the project is selected. **HOWEVER** originals must be kept by the applicant administration.

Sources of guidance

- The Instructions (for completing the Application form and the Budget Tables)
 - ⇒ Rules and guidance on eForm content and the entire application process
- The eForm User Guide
 - ⇒ Help with the technical aspects of completing the eForm
- Still need further assistance...?
EACEA-TEMPUS-CALLS@ec.europa.eu



Part V

Selection steps and recruitment of experts

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Indicative roadmap for selection process – 5th Call for proposals

Steps	Date
Publication of Fifth Tempus IV Call for Proposals	October 2011
Deadline for submission of applications	February 2012
Expert assessment	March - April 2012
Consultation of Ministries of Education in Partner Countries, EU Delegation and National Tempus offices	May 2012
Award decision and publication of results	July 2012
Preparation and signature of grant agreements	July to September 2012
Start of eligibility period	15 October 2012



The Call requirements

The selection process is based on the assessment of applications against 4 types of criteria:

- ❖ **Eligibility Criteria**
- ❖ **Exclusion Criteria**
- ❖ **Selection Criteria**
- ❖ **Award Criteria**

Eligibility criteria

- ❖ Formal submission requirements
- ❖ Grant size and duration
- ❖ Applicant, Partners and Partnership requirements (number of partners, status of the grant applicant & partners, etc.)
- ❖ National & regional priorities

It does not matter how well the project has been designed if it does not fulfil the eligibility criteria: this will lead to the automatic rejection of the proposal

Main reasons for rejection on formal criteria 2011

Out of 525 receive projects, 48 were rejected for not complying with eligibility criteria:

- ☒ Minimum number of higher education institutions
- ☒ Applicant nature
- ☒ Obligation to provide legal supporting documents (Mandates)
- ☒ Relevant Parts of the application not completed
(work plan and budget tables)

Exclusion and Selection criteria

- ❖ The institution is not in one of the situations described in section 6. Exclusion criteria of the Guidelines (such as bankruptcy, profession misconduct, subject of fraud, corruption, administrative penalty, conflict of interest, etc)
- ❖ Legal person status of the applicant organisation
- ❖ Financial capacity to complete the proposed activities
- ❖ Operational capacity to complete the proposed activities

Based on supporting and administrative documents, like the declaration of honour, legal entity form, profit and loss accounts...

Award criteria

Five award criteria defined in the Call and assessed by external experts:

- ❖ Relevance (25%)
- ❖ Good quality partnership (20%)
- ❖ Quality of the project's content and methodology (25%)
- ❖ Dissemination & Sustainability (15%)
- ❖ Budget and Cost-effectiveness (15%)

Project assessment by external experts

Briefing session

- ❖ Two day briefing on site: general and specific presentations, one day assessment simulation, based on case study (team work) & handbook, debriefing

Assessment

- ❖ Each application assessed by two different experts, by teleworking, supported by the Agency's on-line assessment tool
- ❖ 1st stage: Each expert carried out individual assessment
- ❖ 2nd stage: the 2 experts assessing the same proposals ➡ consensus discussions ➡ consolidated judgements and scores
- ❖ Lead-experts - mediate consolidations, ensure quality assessment outputs; perform 3rd assessment wherever consensus is not reached

Debriefing panel with experts

- ❖ Discussion on the quality of the proposals
- ❖ Suggestions for improvement of the evaluation process

Selection of external experts

- ❖ Currently 400 experts names in database covering nationals from EU & Tempus Partner Countries
- ❖ 30% renewal each year, out of which 10% completely new experts
- ❖ An expert can assess Tempus projects maximum three years consecutively
- ❖ Lack of conflict of interest duly checked and respected

Criteria:

- ❖ Professional experience in thematic areas covered by applications
- ❖ Competences in at least two of the Tempus working languages: EN, FR, DE
- ❖ Proved expertise in project cycle management, Tempus Programme and cooperation with the Tempus Partner Countries / Regions
- ❖ Knowledge of the higher education modernisation agenda

Recruitment of external experts for the assessment of project proposals

- Establishment of a **list of experts from EU and Partner countries** to assist in the framework of the management of the EU programmes in the fields of education.
- **Call for expression of interest** published by the Agency
- Applications at any time, **with a deadline on 30 June 2013**
- **Update of the lists** of eligible experts: twice a year

More information:

http://eacea.ec.europa.eu/about/call_experts/call_experts_2007_en.php

Thank you for your attention!

More on:

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